

VILLAGE OF TWIN LAKES

RENEWAL ALCOHOL LICENSE CHECKLIST

Checklist must be submitted by each applicant seeking a renewal of their Alcohol License. Incomplete applications will be rejected.

ess Address:	
cant Name: _	
	Renewal Alcohol Beverage License Application (AT-115).
	Supplemental Questionnaire (AT-103). A copy must be submitted for each offic director, member, manager, and agent of the corporation, LLC or non-profit organization.
	Appointment of Agent (AT-104).
	Proof of Completing Responsible Beverage Server Training Course. Individuals, partnand agents of corporations and LLC's must have successfully completed an approximate responsible beverage server training course within the past two years. Does not apply individuals who held, or were an agent of a corporation or LLC that held a liquor licent within the past two years.
	Proof of Residency. Applicants must have resided 90 days continuously in the state proto the date of application. Proof of residency could include voter registration, vehion registration, driver's license, residential lease or purchase agreement, or income records. Officers, directors, members or managers of corporations or LLCs are required to meet the residency requirement.
	Proof of Wisconsin Seller's Permit. Can be a copy of a letter, email, or website from to State of Wisconsin proving applicant is in good standing for sales tax purposes and ho a valid seller's permit.
	Map of Premises. Applicant must submit a map of the premises, identifying the buildin rooms, and land area under his/her control where alcohol beverages will be sold, serve consumed, or stored. Map does not need to be drawn to scale but should be clear a legible.
	Fees. License and Publication fees are due the week prior to the Village Board Meeting decide on the approval/denial of the license application.

For Office Use Only

Filed with Clerk:	License Fee Receipt & Amt. Paid:
Date Published in Newspaper:	Publication Fee Receipt & Amt. Paid:
Date forwarded to Police Chief:	Date Fire Inspection Completed:

Form AT-115

Renewal Alcohol Beverage License Application

FOR CLERKS ONLY		
Municipality		
License Period		

License(s) Requested			
Class "A" Beer \$	Class A" Liquor \$	License Fees	\$
Class "B" Beer \$	Class B" Liquor \$	Publication Fee	\$
"Class C" Wine \$	Class A" Liquor (Cider Only) \$	0 Background Check	k \$
Reserve "Class B" Liquor \$ "	Class B" (Wine Only) Winery \$	Total Fees	\$
Part A: Premises/Business Information			
Legal Business Name (registered entity name or)	
2. Trade Name or DBA			
3. Premises Address			
4. County	5. Municipality	6. Aldermanic Distr	ict
7. Mailing Address (if different from premises addres	L SS)		
8. FEIN	9. Wisconsin Seller's Permit	Number	
10. Premises Phone	11. Premises Email		
Sole Proprietor Partnership Same language previously approved by you changes to the premises description must be	ır municipality, which may be found	on your most recent licens	
Part B: Questions			
Have you added or removed any partners, application was submitted? If yes to question 1, please list the names, title			Yes No
NEW members. First Name	Last Name		
Phone	Title		Add Remove
First Name	Last Name	,	
Phone	Title		Add Remove
First Name	Last Name		
Phone	Title		Add Remove

Part B: Questions Cont.					
2. Has any partner, officer, director, managing member, or agent had any changes to their most recently filed Form AT-103 including updated contact information, changes in address, criminal history, interest restrictions, etc? If yes, attach a new Form AT-103 reflecting the updated information					
3. Does the licensee or any of its officers, directors, managing members, or agent hold any direct or indirect interest in any other alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery)? If yes, please explain using the space below. Attach additional sheets if necessary					
Have the partners, agent, or sole proprie for this license period?			Э		
 Is the person or business identified in Part (e.g., reporter of profit/loss from the sale permit for the business location, payer of 	of alcohol beverages on their income	e tax return, holder of the seller's)		
6. Is the business indebted to any wholesa	ler beyond 15 days for beer or 30 da	ays for liquor? Yes No)		
7. Does the applicant owe municipal prope	rty taxes, assessments, or other fee	es? Yes No)		
Part C: For Corporate/LLC Applicant	s Only:				
Has your designated agent changed since and attach Form AT-103 for that person a	e your most recent application? If young and a Form AT-104	es, list the new agent name below)		
2. Agent Last Name	Agent First Name	Agent Phone Number			
		,	_		
Part D: Attestation					
Who must sign this application?					
• sole proprietor • one general partne	r of a partnership • one corpor	ate officer • one managing member of an LLC			
fully. I agree that I am acting solely on bet the license. Further, I agree that the rights a individual or entity. I agree to operate this between the from state authorized wholesalers. I under deemed a refusal to allow inspection. Such any license issued contrary to Wis. Stat. Oprosecuted for submitting false statements provides materially false information on the	nalf of the applicant business and no and responsibilities conferred by the business according to the law, includ stand that lack of access to any port n refusal is a misdemeanor and grou Chapter 125 shall be void under pen s and affidavits in connection with the	d each of the above questions completely and truth- of on behalf of any other individual or entity seeking license(s), if granted, will not be assigned to another ling but not limited to, purchasing alcohol beverages tion of a licensed premises during inspection will be unds for revocation of this license. I understand that halty of state law. I further understand that I may be his application, and that any person who knowingly feit not more than \$1,000 if convicted.			
Signature	1	Date			
Name (Last, First, M.I.)	I				
Title	Email	Phone	_		
Part E: For Clerk Use Only					
Date application was filed with clerk	Date reported to governing body	Date provisional license issued (if applicable)			
Date license granted	License number	Date license issued			
Signature of Clerk/Deputy Clerk		·	_		

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Form AT-115 Instructions

Alcohol Beverage License Application Renewal

When should I use AT-115?

- · You hold an expiring alcohol beverage retail license and would like to renew the license.
- If your legal business entity has changed or you are moving your premises to a new location outside your current municipality, use Form AT-106, *Original Alcohol Beverage License Application*.
- If you are applying for a new alcohol beverage license, you must use Form AT-106.

Who issues alcohol beverage licenses?

Municipal clerks of cities, villages, and towns issue alcohol beverage licenses after the governing body (city council, town or village board) grants the license.

How to fill out AT-115?

License Period:

Annual licenses start July 1 and expire June 30 the following year except licenses issued by the City of Milwaukee.
 Annual licenses issued by the City of Milwaukee may be issued at any time throughout the year and are valid for one year from the date of issuance.

Licenses Requested and License Fees:

- Select all the alcohol beverage license(s) you would like to apply for.
- Generally, you may apply for no more than two licenses for the same premises. Further, some license combinations are not acceptable, (e.g., "Class A" and a Class "B")."
- For descriptions of each of the alcohol beverage licenses and their authorizations, see Publication 302, Information for Wisconsin Alcohol Beverage and Tobacco Retailers.
- License costs are determined by the municipality within a range set by state law. Ask your clerk how much the license, background check, and publication fees in that municipality cost.
- License fees for licenses issued for less than 1 year must be prorated according to the number of months or fraction of months remaining in the licensing year.

Part A: Premises/Business Information

- Enter the legal business name in box 1.
- Enter the trade name or "doing business as" name in box 2, if different than the name in box 1.
- All requests for "premises" information are requests for the physical location within the municipality and contact information to reach the business during open hours.
- Check one entity type in box 12 to indicate how the business is legally organized.
- Box 9: For questions about obtaining a seller's permit, see Sellers Permit Common Questions.
- Box 13: Describe your premises in detail. Attach a floor plan if possible. If you do not want to change your premises description, use the same language previously approved by your municipality, which may be found on your most recent license certificate. Requested changes to the premises description must be approved by the municipal governing body.

Example: The premises is located at 1234 Main St., Realtown, WI 12345 and includes only the first-floor bar room, dining room, kitchen, north storage room, and south office of the 5,000 square foot building.

Part B: Questions

• Question 1: Answer yes if you have added a new partner, officer, director, managing member, or if someone left your business' organization.

Provide basic information for all NEW persons involved in the applicant business, including:

- Partners of a partnership
- · Officers, directors, and agent of a corporation or nonprofit organization
- Managing members and agent of a limited liability company

Example titles: Director, Chief Financial Officer, Member, Partner, etc.

Include a Supplemental Questionnaire (Form AT-103) for each person added in this section with the submission of this
application.

NOTE: If your business entity changed (e.g., from an LLC to a Corporation) you may not use this form. Please apply for your license with Form AT-106.

- Question 2: Answer yes to this question if any of your continuing partners, officers, directors, managing members, or agent have had changes in any information that's reported on AT-103 including:
 - Contact information
 - Address
 - Interest restrictions
 - · Criminal history

Include an updated Form AT-103 for any persons that require reporting of changes.

- Question 3: Wisconsin law generally prohibits businesses and individuals from having an interest in more than one tier
 of the alcohol beverage industry (production, wholesale, and retail). Some examples of prohibited interest restrictions
 are described in Administrative Code (<u>Tax 8.87</u>, Wis. Adm. Code).
- Question 6: A licensee may only buy liquor or beer for cash or on credit terms for a period not to exceed:
 - Beer 15 days
 - · Liquor 30 days

A person may not be issued a license if they are indebted to a wholesaler in excess of these limits

• Question 7: Renewal of licenses may be denied pursuant to a local ordinance if the licensee owes municipal taxes, assessments, or other fees.

Part C: For Corporate/LLC Applicants Only

- · Complete this section if you checked corporation or a limited liability company in box 12, Part A.
- Question 1: Answer yes to this question if your business has a new appointed agent. Include an AT-103 for that person and an AT-104 with this application.

Part D: Attestation

• Read the attestation carefully, then sign and date.

Part E: For Clerk Use Only

- "Date license granted" means the date the municipal governing body approved the license to be issued.
- "Date license issued" means the date the municipal clerk issued the license certificate document.

Completion and Submission of AT-115

- Submit the completed application to the clerk of the municipality in which you are applying for a license.
- License applications must be filed with the municipal clerk at least 15 days before they can be approved by the governing body, except licenses issued by municipalities within Milwaukee County. Governing bodies of municipalities within Milwaukee County establish their own period that applications must be filed with the municipal clerk.
- In addition to Form AT-115, include:
 - Form AT-103 for the sole-proprietor; all officers, directors, and agent of a corporation or nonprofit organization; all members/partners of a partnership; all managing members and agent of a limited liability company.
 - Form AT-104 for corporation and LLC applicants
 - · License and publication fees as required by your municipality
 - esponsible beverage server training course completion certificate or other acceptable replacement document described in Part B, Question 4.
 - Proof the applicant holds a seller's permit, such as a copy of the seller's permit document
 Note see <u>Publication 206</u>, Sales Tax Exemptions for Nonprofit Organizations, for information on when a nonprofit organization may be exempt from holding a seller's permit.
 - · All other information and documents required by your municipality

NOTE: You are required by federal law to register as an Alcohol Dealer with the federal Alcohol and Tobacco Tax and Trade Bureau (TTB) before beginning business. Use <u>Form TTB F 5630.5d</u> *Alcohol Dealer Registration* and return the form to the address listed on the instructions.

Open Records

This application is an open record under state law (sec. 19.35, Wis. Stats.) and may be provided to the public. If this license is issued by your municipality, your municipality must report the license to the Wisconsin Department of Revenue. The department will not disclose personal information such as residential addresses, home phone numbers, social security numbers, age, birth date, and place of birth of individuals, including partners, officers, directors, members, managers, and agents of corporations or LLCs.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. If you require assistance with this form, consider reaching out to your local clerk for assistance with the following:

- · Submission of this application and associated forms
- · Availability and cost of certain licenses in a community

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov)

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

Publication 302 DOR Alcohol Beverage Laws for Retailers Licenses

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas

Date		

Form AT-103

Alcohol Beverage License Application Supplemental Questionnaire

This form must be submitted to the municipal clerk, and be accompanied by one or more of the following forms: AT-104, AT-106, AT-108, AT-115, or AT-200. One Form AT-103 must be completed by each person involved in the applicant business or parent company including:

· sole proprietor

- all officers, directors, and agent of a corporation or nonprofit organization
- · all partners of a partnership
- · managing members and agent of a limited liability company

Your alcohol beverage application or renewal is not complete until all required Supplemental Questionnaires are submitted.

Part A: Premises/Business Information					
Registered Entity Name (or individual name if sole proprietor)					
2. Trade Name or DBA					
3. Entity Type (check one) Sole Proprietor Partnership	Limited Liabil	ity Company	/ Corpo	oration [Nonprofit Organization
Part B: Individual Information					
1. Name (Last, First, M.I.)					
Relationship to Registered Entity (Title)	3. Email				4. Phone
5. Home Address					
6. City		7. State	8. Zip Code		9. Date of Birth
10. Drivers License/State ID Number			11. Drivers License/State ID State of Issuance		
Part C: Address History					
List in chronological order your last two reside	nce addresses with	in the last 5	years.		
Previous Address 1					
Previous City, State, Zip				Dates (MM/YY	YY - MM/YYYY)
Previous Address 2					
Previous City, State, Zip				Dates (MM/YY	YY - MM/YYYY)
Part D: Employment History					
List in chronological order your last two emplo	yers within the last	5 years.			
Employer's Name					
Employer's Address				Dates Employe	ed (MM/YYYY - MM/YYYY)
Employer's Name				I	
Employer's Address				Dates Employe	ed (MM/YYYY - MM/YYYY)

Part E: Criminal History					
1. Have you ever been convicted of any offenses (other than traffic offenses unrelated to alcohol beverages) for violation of any federal, Wisconsin, or another state's laws or of any county or municipal ordinances?					
If yes to question 1, please list details of each conviction below. Attach addition	s needed.				
Law/Ordinance Violated		Trial Date			
Penalty Imposed	Was senten	ce completed?	Yes	☐ No	
Law/Ordinance Violated		Trial Date			
Penalty Imposed	Was senten	ce completed?	Yes	☐ No	
2. Are charges for any offenses currently pending against you (other than traffic beverages) for violation of any federal, Wisconsin, or another state's laws or a	any county or	· municipal			
ordinances?			Yes	∐ No	
If yes to question 2, describe nature and status of pending charges using th sheets as needed.	If yes to question 2, describe nature and status of pending charges using the space below. Attach additional sheets as needed.				
Part F: Questions					
Have you lived in any state other than Wisconsin as an adult? If yes, please If no, continue to question 2			Yes	☐ No	
2. How long have you continuously lived in Wisconsin prior to the date of applica	ation?	Years	Months		
3. Do you hold a direct or indirect interest in any alcohol beverage wholesaler or brewpub, winery, distillery)? If yes, please explain using the space below. Atta			Yes	☐ No	
Part G: Attestation					
READ CAREFULLY BEFORE SIGNING: I understand that any license issue under penalty of state law. I further understand that I may be prosecuted for sub with this application, and that any person who knowingly provides materially for to forfeit not more than \$1,000 if convicted.	omitting false	statements and affida	avits in con	nection	
Signature		Date			

AT-103 (R. 06-23)

Form AT-103 Instructions

Alcohol Beverage License Application/Supplemental Questionnaire

Who must complete Form AT-103?

All persons involved in the applicant business who are partners of a partnership, officers, directors, managing members, sole proprietors, or agents. These persons must be identified in the schedule for appointment of agent (Form AT-104), original license application (Form AT-106), retail license transfer (Form AT-108) the renewal license application (Form AT-115), or the appointment of successor agent (Form AT-200).

Where do I submit Form AT-103?

Submit this form with Form AT-104, AT-106, AT-108, AT-115, or AT-200 to the clerk of the municipality in which the applicant business is located.

Specific Instructions

Date

Date the form in the top left corner.

Part A: Premises/Business Information

- Enter the legal business name in box 1. If sole proprietor, enter the individual's first and last name.
- Enter the trade name or "doing business as" name in box 2, if different than the name in box 1.
- Check one entity type in box 3 to indicate how the business is legally organized.

Note: This business information must match the information on the license application (Form AT-106 or AT-115).

Part B: Individual Information

- Provide all requested personal information.
- For box 2: Enter your title or describe your relationship to the business. Examples: President, Treasurer, Director, Chief Financial Officer, Member, Partner, Agent, etc.

Part C: Address History

· List your two most recent addresses within the past five years.

Part D: Employment History

List your two most recent employers/business ventures within the past five years.

Part E: Criminal History

- Question 1: Disclose any civil or criminal violations of law in any jurisdiction (federal, state, or local ordinance), and include detailed descriptions of any violations of law involving alcohol beverages (OWI, disorderly conduct, etc.).
- Question 2: Disclose any pending charges against you in any jurisdiction and include detailed descriptions of any charges involving alcohol beverages.

Note: Subject to the Wisconsin Fair Employment Law (Ch. 111, Wis. Stats.), persons with convictions or pending charges may, if those offenses are sufficiently relevant, be prohibited from holding a retail alcohol beverage license under sec. 125.04(5)(a)(1) Wis. Stats. See the Department of Revenue's Permit Predetermination Common Questions for offenses that may prevent someone from holding a license.

Part F: Questions

- Question 4: Wisconsin law generally prohibits businesses and individuals from having an interest in more than one tier of the alcohol beverage industry (production, wholesale, and retail). Disclose whether you hold a direct or indirect interest in any alcohol beverage wholesaler or producer (e.g., brewer, brewpub, winery, distillery).
- Examples of prohibited interest restrictions are described in Administrative Code (Tax 8.87, Wis. Adm. Code).

Note: If you hold a direct or indirect interest in an alcohol beverage wholesaler or producer, you may not be eligible to hold an alcohol beverage license. Before submitting this form, reach out to your municipal clerk or the Department of Revenue.

Part G: Attestation

Read the attestation carefully, then sign and date.

Assistance

This form is designed by the Department of Revenue for use by municipal governments. Reach out to your municipal clerk for assistance with the following:

- · Submission of the retail license application and supplemental forms
- · Availability and cost of certain licenses

If you have questions about alcohol beverage laws and regulations, you may contact the Department of Revenue using the contact information below.

Website: DOR Alcohol Beverage (wi.gov)

Write: DORAlcoholTobaccoEnforcement@wisconsin.gov

Call: (608) 264-4573

Resources Provided by the Department of Revenue

License frequently asked questions

Publication 302 DOR Alcohol Beverage Laws for Retailers Licenses

Publication 309 Retail Alcohol Beverage Licensing Guide for Municipalities

Fact Sheet 3101 Licenses for Retail Sale of Alcohol Beverages

Fact Sheet 3103 Licensed or Permitted Premises Description

Fact Sheet 3116 Reserve "Class B" Liquor Licenses

Fact Sheet 3118 "Class B" Liquor License Quotas

Schedule for Appointment of Agent by Corporation / Nonprofit Organization or Limited Liability Company

Submit to municipal clerk.

All corporations/organizations or limited liability companies applying for a license to sell fermented malt beverages and/or intoxicating liquor must appoint an agent. The following questions must be answered by the agent. The appointment must be signed by an officer of the corporation/organization or one member/manager of a limited liability company and the recommendation made by the proper local official.

Town

To the governing body of:

Village of ______ County of ______

To the governing body of:	Village	of	County of
	City		
The undersigned duly auth	orized officer/m	nember/manager of	(Registered Name of Corporation / Organization or Limited Liability Company
			(Registered Name of Corporation / Organization or Limited Liability Company
a corporation/organization of	or limited liabilit	y company making ap	oplication for an alcohol beverage license for a premises known as
		(7	rade Name)
located at			
appoints			
		(Name o	f Appointed Agent)
		(Home Addre	ess of Appointed Agent)
to alcohol beverages condu	icted therein. Is	s applicant agent pres	with full authority and control of the premises and of all business related sently acting in that capacity or requesting approval for any corporate read/or liquor license for any other location in Wisconsin?
Yes No If s	o, indicate the	corporate name(s)/lin	nited liability company(ies) and municipality(ies).
Is applicant agent subject to	completion of	the responsible beve	rage server training course?
How long immediately prior	to making this	application has the a	oplicant agent resided continuously in Wisconsin?
Place of residence last year	r		
Fo			
D.	·	(Name o	f Corporation / Organization / Limited Liability Company)
Ву	·		(Signature of Officer / Member / Manager)
Any person who knowingly \$1,000.	provides mater	ially false information	in an application for a license may be required to forfeit not more tha
		ACCEPT	ANCE BY AGENT
l,	(2) (/ 7	Agent's Name)	, hereby accept this appointment as agent for
	nited liability o	ompany and assume	e full responsibility for the conduct of all business relative to alconization/limited liability company.
			Agent's age
(S	ignature of Agent)		(Date)
	(Hon	ne Address of Agent)	Date of birth
			T BY MUNICIPAL AUTHORITY behalf of Municipal Official)
	checked munic	ipal and state crimina	al records. To the best of my knowledge, with the available informat e no objection to the agent appointed.
Approved on	by		oper Local Official) Title (Town Chair, Village President, Police Cl
(Date)		(Signature of Pr	oper Local Official) (Town Chair, Village President, Police Cl

AT-104 (R. 4-18) Wisconsin Department of Revenue